

## 14. COUNTER MACHINES

Counter languages are the family  $\mathfrak{C} = \mathfrak{F}(P, 1)$  where  $P$  is the free abelian group on countably many generators  $\{p_1, p_2, \dots\}$ .  $L \subset \Sigma^*$  is a counter language if any of the following equivalent conditions hold.

1.  $L = \rho(1)$  for some rational relation  $\rho : P \rightarrow \Sigma^*$ ;
2.  $L = \rho \cap \Sigma^*$  for some rational relation  $\rho \subset P \times \Sigma^*$ ;
3.  $L$  is accepted by a finite automaton  $\mathcal{A}$  over  $P \times \Sigma^*$ ; that is,  $L$  is the set of words  $w$  for which there are successful paths in  $\mathcal{A}$  with label  $(1, w)$ .

Figure 1 shows a counter automaton  $\mathcal{A}$ . We may think of  $\mathcal{A}$  as having two counters. The edge label  $(p_1, a)$  means “Read  $a$  and increment counter 1” while a label  $(1, b)$  would mean “Read  $b$  and make no change in the counters,” and  $(p_1 p_2^{-1}, \lambda)$  would tell the machine to increment counter 1 and decrement counter 2 but read no input.  $\mathcal{A}$

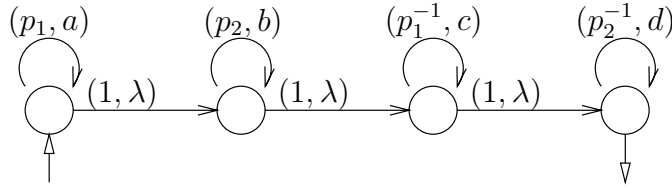


FIGURE 1. A counter automaton  $\mathcal{A}$  accepting  $\{a^i b^j c^k d^j\}$

accepts the inputs for which there is a computation beginning in the initial state with all counters empty and ending in the terminal state with all counters empty. It is clear that the labels of paths in  $\mathcal{A}$  from initial to terminal vertex are

$$\{(p_1^i p_2^j p_1^{-k} p_2^{-m}, a^i b^j c^k d^m)\} = \{(p_1^{i-k} p_2^{j-m}, a^i b^j c^k d^m)\}$$

from which it follows that  $\mathcal{A}$  accepts the desired language.

Usually counter automata can branch on empty stack, but ours cannot. If they could, they would have the same computing power as Turing machines.

**Lemma 14.1.**  $\mathfrak{C}$  contains all rational languages and is closed under the following operations.

1. Transduction and union;
2. Homomorphism, and inverse homomorphism;
3. Intersection;
4. Product.

*Proof.* The first three assertions hold because  $\mathfrak{C}$  is a family of languages. For the last two assume that  $L_1$  and  $L_2$  are counter languages defined using disjoint sets of generators from  $P$ . That is, there are subgroups  $P_1$  and  $P_2$  of  $P$  such that  $P_1 \cap P_2 = 1$  and each  $L_i = \rho_i \cap \Sigma^*$  where  $\rho_i \subset P_i \times \Sigma^*$  is rational.

Earlier we proved that the composition of two rational relations  $\sigma : M_1 \rightarrow \Sigma^*$  and  $\tau : \Sigma^* \rightarrow M_2$  is rational. A slight modification of that proof shows that  $\{(m_1, w, m_2) \mid w \in \rho(m_1), m_2 \in \tau(w)\}$  is a rational subset of  $M_1 \times \Sigma^* \times M_2$ . In our present situation this result means that  $\mu = \{(q_1, w, q_2) \mid w \in \rho_1(q_1) \cap \rho_2(q_2)\}$  is a rational subset of  $P_1 \times \Sigma^* \times P_2$ . Hence  $\mu$  is a rational subset of  $P \times \Sigma^*$  and  $\mu \cap \Sigma^* = L_1 \cap L_2$ .

Finally  $L_1 L_2 = (\rho_1 \cap \Sigma^*)(\rho_2 \cap \Sigma^*) = \rho_1 \rho_2 \cap \Sigma^*$ . □

**Theorem 14.2** (Interchange Lemma). *For each counter language  $L$  there is an integer  $n$  such that for every  $w \in L$  and decomposition  $w = w_0v_1w_1 \cdots v_nw_n$  with all  $v_i \neq \lambda$ , interchanging some pair of the  $v_i$ 's yields a word in  $L$ .*

*Proof.* Let  $L$  be accepted by an automaton  $\mathcal{A}$  with  $m$  vertices and take  $n = 1 + m^2$ . An accepted word  $w$  is part of the label of a successful path. At least two of the  $v_i$ 's correspond to sub-paths beginning at the same vertex and ending at the same vertex. The word  $u$  obtained by interchanging these two sub-words is also part of the label of a successful path, and because  $P$  is abelian the first component of the label of this path is still 1.  $\square$

**Exercise 14.3.** *Formulate a pumping lemma for 1-counter languages, i.e.  $\mathfrak{F}(Z, 0)$ .*

**Exercise 14.4.** *Show that the word problem of  $G$  is a 1-counter language if and only if  $G$  is virtually cyclic. Hint: The word problem of a finitely generated group is context-free if and only if the group has a free subgroup of finite index.*

**Problem 14.5.** *Characterize groups whose word problems are counter languages.*

#### REFERENCES

- [1] S. Greibach, Remarks on blind and partially blind one-way multicounter machines, *Theoretical Computer Science*, **7**, 1978, 311-324.
- [2] V. Mitrana and R. Stiebe, The accepting power of finite automata over groups, in *New trends in formal languages*, Lecture Notes in Computer Science, **1218**, Springer Verlag, Berlin, 1997, 39-48.